

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patent, P. O. Box 1450, Alexandria, VA 22313-1450, on July 17, 2003.

  
Grace Alicea

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: July 17, 2003

HOTELLING

Serial No. *to be assigned*

Group Art Unit: *to be assigned*

Filed: July 17, 2003

Examiner: *to be assigned*

For: IMPROVED LIGHT GUIDE PANEL AND METHOD OF USE

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

X With copies.

Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No.\*, filed \*. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).

X With the application; accordingly, no fee or separate requirements are required.

Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

- ☐ The documents listed on the attached Form PTO-1449 were cited in a Search Report directed to a counterpart international or foreign application.
- ☐ After receipt of a first Office Action on the merits before mailing of a final Office Action or Notice of Allowance.
- ☐ A fee is required. A check in the amount of \$\* is enclosed.
- ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- ☐ A Certification under 37 C.F.R. §1.97(e) is provided below; accordingly; no fee is believed to be due.

☐ I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

**-OR-**

☐ I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, and a Certification under 37 C.F.R. §1.97(e) is provided herein.

☒ Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

☒ The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account 02-2120 (Sawyer Law Group LLP). If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

July 17, 2003  
Date

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Joseph A. Sawyer, Jr.  
Attorney for Applicant  
Reg. No. 30,801  
(650) 493-4540

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary) Page 1 of 2	ATTY. DOCKET NO. P3121/2867P	SERIAL NO. <i>to be assigned</i>
	APPLICANT: Hotelling, S.	
	FILING DATE: <i>herewith</i>	GROUP: <i>to be assigned</i>

REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		6 3 6 1 1 8 0	Mar. 26, 2002	Iimura			
		6 3 6 4 4 9 7	Apr. 2, 2002	Park, et al.			
		6 4 0 2 3 3 5	Jun. 11, 2002	Kalantar, et al.			
		6 4 9 8 6 3 1	Dec. 24, 2002	Natsuyama			
		6 5 1 3 9 4 3	Feb. 4, 2003	Fukuyoshi			
		6 3 2 2 2 2 9	Nov. 27, 2001	Chan, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
							Yes	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary) Page 2 of 2	ATTY. DOCKET NO. P3121/2867P	SERIAL NO. <i>to be assigned</i>
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REFERENCE DESIGNATION                      U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
		5 2 9 5 2 1 6	Mar. 15, 1994	Halter			

PATENT APPLICATION DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME	CLASS	SUBCLASS
		2 0 1 8 1 2 2 3	Dec. 5, 2002	Ryu, et al.		
		2 0 1 1 4 1 5 3	Aug. 22, 2002	Chan, et al.		

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)


EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.